

# ON THE SURJECTIVITY OF GALOIS REPRESENTATIONS ATTACHED TO ELLIPTIC CURVES OVER NUMBER FIELDS

ÁLVARO LOZANO-ROBLEDO

ABSTRACT. Let  $A$  be an elliptic curve over a number field  $K$ , let  $p \geq 7$  be a prime and let  $\wp$  be a prime ideal of  $K$  lying above  $p$ , such that the  $j$ -invariant of  $A$  is non-integral at  $\wp$ . We extend a result of Rohrlich to show that a certain deformation of the Galois representation attached to the Tate module of  $A$  is surjective, under some restrictions involving the ramification index of  $\wp$ ,  $p$  and  $j(A)$ .

## 1. SURJECTIVITY OF A GALOIS REPRESENTATION

Let  $K$  be a number field, fix  $\overline{K}$ , an algebraic closure of  $K$ , and let  $j$  be transcendental over  $K$ . Let  $E$  be an elliptic curve defined over the field  $K(j)$  such that  $j(E) = j$ . Given a prime number  $p \geq 7$ , the natural action of  $\text{Gal}(\overline{K(j)}/\overline{K(j)})$  on the group of  $p$ -torsion points of  $E$  induces a representation  $\widetilde{\pi}_E: \text{Gal}(\overline{K(j)}/\overline{K(j)}) \rightarrow \text{SL}(2, \mathbb{F}_p)$ . The universal deformation of  $\widetilde{\pi}_E$ , with respect to certain ramification conditions (see [Roh], [Roh04]), is an epimorphism

$$\pi_E: \text{Gal}(\overline{K(j)}/\overline{K(j)}) \rightarrow \text{SL}(2, \mathbb{Z}_p[[X]]).$$

Let  $\widetilde{K}$  be the extension of  $K$  generated by all roots of unity of  $p$ -power order. In [Roh00a], [Roh00b], D. E. Rohrlich showed that  $\pi_E$  descends to an epimorphism

$$\rho_E: \text{Gal}(\overline{K(j)}/\widetilde{K}(j)) \rightarrow \text{SL}(2, \mathbb{Z}_p[[X]]).$$

Notice that  $\rho_E$  encapsulates arithmetic information which was not present in  $\pi_E$ .

Let  $A$  be an elliptic curve defined over  $K$  with  $j$ -invariant  $j(A) \neq 0, 1728$  and suppose that  $A$  coincides with the fiber of  $E$  at  $j = j(A)$ . Choose a place  $\sigma$  of  $\overline{K(j)}$  extending the place  $j = j(A)$  of  $\widetilde{K}(j)$ , and write  $D$  and  $I$  for the corresponding decomposition and inertia subgroups of  $\text{Gal}(\overline{K(j)}/\widetilde{K}(j))$ . We “specialize” the representation  $\rho_E$  to  $j = j(A)$  by restricting the map to

---

2000 *Mathematics Subject Classification.* 11F80 (primary), 11G05 (secondary).

*Key words and phrases.*  $p$ -adic Galois representations, deformations, surjectivity, elliptic curves.

the decomposition group  $D$ . By the ramification constraints of the universal deformation (see [Roh00b]), the map  $\rho_E$  is unramified outside  $\{0, 1728, \infty\}$ , thus  $\rho_E|_D$  factors through  $D/I \cong \text{Gal}(\overline{K}/\tilde{K})$ . We obtain a representation:

$$\rho_A: \text{Gal}(\overline{K}/\tilde{K}) \longrightarrow \text{SL}(2, \mathbb{Z}_p[[X]]).$$

If we write  $\overline{\rho}_A: \text{Gal}(\overline{K}/\tilde{K}) \longrightarrow \text{SL}(2, \mathbb{Z}_p)$  for the representation determined up to equivalence by the natural action of  $\text{Gal}(\overline{K}/\tilde{K})$  on the Tate module of  $A$ , then, by construction,  $\rho_A$  is a deformation of  $\overline{\rho}_A$ , and in particular  $\rho_A|_{X=0} = \overline{\rho}_A$ . The image of  $\overline{\rho}_A$ , which has been characterized by M. Deuring [Deu53], [Deu58], J.-P. Serre [Ser72], J. Tate [ST68] and others, depends drastically on whether the elliptic curve  $A$  has complex multiplication or not.

In light of the results of Deuring, Serre and Tate, one would naturally want to know how large is the image of the representation  $\rho_A$ . Let

$$\widetilde{\rho}_A: \text{Gal}(\overline{K}/\tilde{K}) \rightarrow \text{SL}(2, \mathbb{F}_p)$$

be the representation induced by the action of Galois on the points of order  $p$  on  $A$ . In [Roh04], Rohrlich proved in the case  $K = \mathbb{Q}$  that if  $\widetilde{\rho}_A$  is surjective and  $\nu_p(j(A)) = -1$  then  $\rho_A$  is surjective, where  $\nu_p$  is the usual  $p$ -adic valuation on  $\mathbb{Q}$ . In this note we generalize Rohrlich's results to more general number fields.

Fix  $\wp$ , a prime of  $K$  lying above a prime  $p \geq 7$ . We write  $\nu_\wp$  for the standard  $\wp$ -adic valuation on  $K$ , so that, for a uniformizer  $\pi$  of  $\wp$ ,  $\nu_\wp(\pi) = 1$  and  $\nu_\wp(p) = e$ , where  $e = e(\wp | p)$  is the ramification index.

**Theorem 1.1.** *If  $\widetilde{\rho}_A$  is surjective,  $e$  is not divisible by  $p-1$ ,  $\nu_\wp(j(A)) = -t$  with  $t \in \mathbb{N}$ ,  $\gcd(p, t) = 1$ , and*

$$t < \frac{ep}{p-1} = e + \frac{e}{p-1};$$

*then  $\rho_A$  is surjective.*

*Proof.* The strategy of the proof is the same as in [Roh04], proof of Theorem 1 (which shows the case  $K = \mathbb{Q}$ ). We summarize it here and point out where the proof diverges for a number field  $K$  as in the statement of Theorem 1.1.

It suffices to verify the surjectivity of the projective representation

$$P\rho_A: \text{Gal}(\overline{K}/\tilde{K}) \longrightarrow \text{PSL}(2, \Lambda)$$

because the only subgroup of  $\text{SL}(2, \Lambda)$  with projective image  $\text{PSL}(2, \Lambda)$  is the full group  $\text{SL}(2, \Lambda)$ . We similarly define projective maps  $P\rho_E$  and  $P\overline{\rho}_A$ . By the definition of  $\rho_A$ , in order to verify the surjectivity of  $P\rho_A$  it suffices to show that the image via  $P\rho_E$  of the decomposition group  $D$  is the full group  $\text{PSL}(2, \Lambda)$ .

The kernel of  $\rho_E$  determines a fixed field  $\mathbf{L}$ , in particular  $\text{Gal}(\mathbf{L}/\tilde{K}(j)) \cong \text{PSL}(2, \mathbb{Z}_p[[X]])$ . For  $i \geq 1$ , let  $\mathbf{L}_i \subseteq \mathbf{L}$  be the fixed field determined by the kernel of the reduction map

$$\text{Gal}(\mathbf{L}/\tilde{K}(j)) \cong \text{PSL}(2, \mathbb{Z}_p[[X]]) \rightarrow \text{PSL}(2, \mathbb{Z}_p[[X]]/(p, X)^i).$$

Recall that we have chosen a place  $\sigma$  of  $\overline{K(j)}$  extending  $j = j(A)$ . Let  $\ell_\nu$  be the residue class field of  $\sigma|_{\mathbf{L}_\nu}$ , i.e.  $\ell_\nu = \sigma(\mathbf{L}_\nu) \setminus \{\infty\}$ .

A criterion of Boston ([Bos86], Prop. 2, p. 262) reduces the problem to proving that the image of  $D$  in  $\text{Gal}(\mathbf{L}_2/\tilde{K}(j))$  maps to all of  $\text{PSL}(2, \Lambda/(p, X)^2)$ . Equivalently, one needs to show that  $[\mathbf{L}_2 : \tilde{K}(j)] = [\ell_2 : \tilde{K}]$ . Notice that the assumption on the surjectivity of  $\tilde{\rho}_A$  implies that  $\overline{\rho}_A$  is surjective (see, for example, [Ser68], IV-23, Lemma 3), and so is  $P\overline{\rho}_A$ , the projectivization of  $\overline{\rho}_A$ . It follows that  $[\mathbf{L}_1 : \tilde{K}(j)] = [\ell_1 : \tilde{K}]$ , therefore it suffices to prove that

$$(1) \quad [\mathbf{L}_2 : \mathbf{L}_1] = [\ell_2 : \ell_1].$$

**1.1. Siegel Functions.** We follow the definitions established in [Roh04].

**Definition 1.2.** Let  $p \geq 7$  be a prime and define  $R = \mathbb{F}_p^2 \setminus \{(0, 0)\}$ .

- (1)  $M$  is the set of all functions  $m: R \rightarrow \mathbb{Z}$  with  $m(r) = m(-r)$ .  $M$  is clearly a  $\mathbb{Z}$ -module.
- (2) We write  $N$  for the  $\mathbb{Z}$ -submodule of  $M$  consisting of all those  $m \in M$  that reduce modulo  $p$  to a function defined by a homogeneous polynomial of degree two over  $\mathbb{F}_p$ .

Let  $r \in R$  and let  $s = (s_1, s_2) \in \mathbb{Z}^2$  be any lift of  $r$ , i.e.  $s = (s_1, s_2) \equiv r \pmod{p}$  and put  $a = a_s = \frac{1}{p}(s_1, s_2)$ , then the symbol  $f_r$  represents any Siegel function  $g_a^{12}$  (see [KL81], p. 29). If  $s \in \mathbb{Z}^2$  is replaced by another lift of  $r$  then  $f_r$  is multiplied by a  $p$ th root of unity (for this see [KL81], Remark on p. 30), so the symbol  $f_r(\tau)$  is only well defined up to  $p$ th roots of unity. For  $m \in M$  we also define the symbolic  $m$ th power:

$$f^m = \prod_{r \in R} f_r^{m(r)}.$$

The key ingredient in the proof of Theorem 1.1 is given by the following result of Rohrlich ([Roh04], Theorem 2).

**Theorem 1.3.** *The extension  $\mathbf{L}_2/\mathbf{L}_1$  is generated by  $p$ th roots of Siegel units. More precisely,  $\mathbf{L}_2 = \mathbf{L}_1(\{(f^m)^{1/p} : m \in N\})$ .*

Using the previous theorem, Rohrlich reduces the proof of (1) to the following local statement (see [Roh04], p. 19, 20; the argument is valid in our case, by simply replacing  $\mathbb{Q}$  by  $K$ ). Since  $\nu_\varphi(j(A)) = -t < 0$  there is a unique Tate curve  $B$  over  $K_\varphi$  with  $j(B) = j(A)$ . Suppose there is a

$m \in N$  such that  $\sigma(f^m)^{1/p} \notin K_\wp(B[p^\nu])$  for all sufficiently large  $\nu \in \mathbb{N}$ , then equality (1) follows.

Let  $\mathcal{O}_\wp$  be the ring on integers in  $K_\wp$  and let  $q$  be the unique element of  $\pi\mathcal{O}_\wp$  such that  $j(q) = j(B)$ , where  $\pi$ , as before, is a uniformizer of  $\wp$ . Proposition 8 of [Roh04], can be generalized to:

**Proposition 1.4.** *There exists  $m \in N$  such that:*

$$\sigma(f^m) = q^\mu(1 - uq)(1 - vq^2) = q^\mu(1 + wq)$$

with  $\mu \in \mathbb{Z}$ ,  $u, w \in \mathcal{O}_\wp^\times$ , and  $v \in \mathcal{O}_\wp$ . In particular,  $\sigma(f^m) \in K_\wp$ .

The proof found in [Roh04] is valid without change. Let  $f = f^m$  with  $m$  as in the previous proposition. Hence, in order to finish the proof of Theorem 1.1, we need to show:

**Proposition 1.5.** *Suppose that  $v_\wp(j(A)) = -t$  with  $t \in \mathbb{N}$ ,  $e$  is not divisible by  $p - 1$ ,  $\gcd(p, t) = 1$ , and*

$$t < \frac{ep}{p-1} = e + \frac{e}{p-1};$$

then  $\sigma(f)^{1/p} \notin K_\wp(B[p^\nu])$  for all sufficiently large  $\nu \in \mathbb{N}$ .

*Proof.* It suffices to show that  $\sigma(f)^{1/p}$  has degree  $p$  over  $K_\wp(B[p^\nu])$  for all sufficiently large  $\nu$ . Note that  $K_\wp(B[p^\nu]) = K_\wp(\zeta, q^{1/p^\nu})$  where  $\zeta$  is a primitive  $p^\nu$ th root of unity (see [Lan87], Chapter 15, Theorem 3).

Since  $v_\wp(j(A)) = -t$ , then  $v_\wp(q) = t$  (and by assumption  $\gcd(p, t) = 1$ ). It follows that  $\gcd(v_\wp(q), p^\nu) = 1$  and the order of  $q$  in  $K_\wp^\times/K_\wp^{\times p^\nu}$  is  $p^\nu$ .

Recall that by Proposition 1.4 we can write  $\sigma(f)$  as  $q^\mu(1 - uq)(1 - vq^2) = q^\mu(1 + wq)$  with  $\mu \in \mathbb{Z}$ ,  $u, w \in \mathcal{O}_\wp^\times$ , and  $v \in \mathcal{O}_\wp$ . We claim that  $\alpha := q^{-\mu}\sigma(f)$  has degree  $p^\nu$  in  $K_\wp^\times/K_\wp^{\times p^\nu}$ . For suppose the contrary, i.e.  $\alpha^{p^{\nu-1}} = \beta^{p^\nu}$  for some  $\beta \in K_\wp$ . Then  $\beta^p = \xi\alpha$  with  $\xi$  a  $p^{\nu-1}$ th root of unity and  $\xi = \beta^p\alpha^{-1} \in K_\wp$ . Since  $K_\wp$  cannot contain nontrivial  $p$ th roots of unity (or  $p - 1$  would divide  $e$ ), it follows that  $\xi = 1$ .

Hence  $\alpha = \beta^p$ . Let  $\beta = 1 + b\pi$  for some  $b \in \mathcal{O}_\wp$ ,  $\pi$  a uniformizer for  $\wp$ . By the binomial theorem:

$$(1 + b\pi)^p = \sum_{h=0}^p \binom{p}{h} b^h \pi^h$$

so the terms in  $\beta^p - 1$  have  $\wp$ -adic valuations in the list

$$p(\nu_\wp(b) + 1), \quad i(\nu_\wp(b) + 1) + e \quad \text{with } 1 \leq i \leq p - 1$$

and the minimum non-zero valuation is either  $p(\nu_\wp(b) + 1)$  or  $\nu_\wp(b) + 1 + e$  (and both cannot be equal, since that implies that  $p - 1$  divides  $e$ ). This value

must equal  $t$  since we are assuming  $\alpha = 1 + wq = \beta^p$ , but  $t$  is not divisible by  $p$  by hypothesis, so the minimum valuation must be  $t = \nu_\varphi(b) + 1 + e$ .

First suppose  $t < e+1$ . This implies that  $\nu_\varphi(b) < 0$  which is contradictory since  $b \in \mathcal{O}_\varphi$ . Otherwise  $e + 1 \leq t < \frac{ep}{p-1}$  and the fact that  $\nu_\varphi(b) + 1 + e < p \cdot (\nu_\varphi(b) + 1)$  implies that

$$p > \frac{\nu_\varphi(b) + 1 + e}{\nu_\varphi(b) + 1}.$$

Substituting  $\nu_\varphi(b) = t - e - 1$  we obtain  $p > \frac{t}{t-e}$  and hence  $t > \frac{ep}{p-1}$  (since  $t > e$ ) which contradicts our assumption on  $t$ . Therefore, we conclude that  $\alpha$  is not a  $p$ -th power.

*Remark 1.6.* Using the  $\varphi$ -adic logarithm and exponential maps one can prove that if  $\nu_\varphi(\gamma) > e + \frac{e}{p-1}$  then  $(1 + \gamma)^{1/p} \in K_\varphi$ . So the bound on  $t$  in the theorem is best possible, at least for this method of proof.

Thus we have proved that the order of  $\alpha$  in  $K_\varphi^\times / K_\varphi^{\times p^\nu}$  is exactly  $p^\nu$ . Therefore, the subgroup of  $K_\varphi^\times / K_\varphi^{\times p^\nu}$  generated by the cosets of  $q$  and  $\sigma(f)$  has order  $p^{2\nu}$ .

**Lemma 1.7.** *Let  $L$  be a field with  $\text{char}(L) = 0$ , and let  $\zeta$  be a primitive  $p^\nu$ th root of unity. Let  $M = L(\zeta)$ . Then the following natural map is injective:*

$$L^\times / L^{\times p^\nu} \longrightarrow M^\times / M^{\times p^\nu}.$$

We claim that Proposition 1.5 follows using the previous lemma (which we will prove below). Indeed, let  $\mathbf{F}_\nu = K_\varphi(\zeta)$  where  $\zeta$  is a primitive  $p^\nu$ th root of unity. The natural map

$$K_\varphi^\times / K_\varphi^{\times p^\nu} \longrightarrow \mathbf{F}_\nu^\times / \mathbf{F}_\nu^{\times p^\nu}$$

is injective by the previous Lemma, so the image of the group generated by the cosets of  $q$  and  $\sigma(f)$  also has order  $p^{2\nu}$ .

It follows that  $[\mathbf{F}_\nu(q^{1/p^\nu}, \sigma(f)^{1/p^\nu}) : \mathbf{F}_\nu] = p^{2\nu}$  and we can deduce that

$$[\mathbf{F}_\nu(q^{1/p^\nu}, \sigma(f)^{1/p^\nu}) : \mathbf{F}_\nu(q^{1/p^\nu})] = p^\nu.$$

Hence  $\sigma(f)^{1/p^\nu}$  has degree  $p^\nu$  over  $\mathbf{F}_\nu(q^{1/p^\nu}) = K_\varphi(B[p^\nu])$ , so  $\sigma(f)^{1/p}$  has degree  $p$  over  $K_\varphi(B[p^\nu])$ .  $\square$

*Proof of Lemma 1.7.* As a consequence of Hilbert Theorem 90 we obtain:

$$H^1(\text{Gal}(\bar{L}/L), \mu_{p^\nu}) = L^\times / L^{\times p^\nu}, \text{ and } H^1(\text{Gal}(\bar{M}/M), \mu_{p^\nu}) = M^\times / M^{\times p^\nu}.$$

Moreover, the natural map  $L^\times / L^{\times p^\nu} \longrightarrow M^\times / M^{\times p^\nu}$  corresponds to the restriction map in cohomology, which fits in the exact sequence:

$$0 \rightarrow H^1(\text{Gal}(M/L), \mu_{p^\nu}) \rightarrow H^1(\text{Gal}(\bar{L}/L), \mu_{p^\nu}) \rightarrow H^1(\text{Gal}(\bar{M}/M), \mu_{p^\nu})$$

Thus, in order to show that the map is injective, is enough to show that

$$H^1(\text{Gal}(M/L), \mu_{p^\nu}) = 0.$$

Since  $M = L(\zeta)$  where  $\zeta$  is a primitive  $p^\nu$ th root of unity, we can think of  $\text{Gal}(M/L)$  as a subgroup of  $(\mathbb{Z}/p^\nu\mathbb{Z})^\times$  acting on  $\mu_{p^\nu} \cong \mathbb{Z}/p^\nu\mathbb{Z}$  via multiplication, and to finish the proof, we must prove:

**Lemma 1.8.**  $H^1(G, \mathbb{Z}/p^\nu\mathbb{Z}) = 0$  for any  $G \leq (\mathbb{Z}/p^\nu\mathbb{Z})^\times$ .

Statements similar to this one can be found in the literature (see e.g. [Rub99], Lemma 6.1), but for the convenience of the reader we include a proof of the precise statement needed here.

*Proof.* For this, let  $\psi : G \rightarrow \mathbb{Z}/p^\nu\mathbb{Z}$  be a cocycle. We would like to prove that  $\psi$  is actually a coboundary. Since  $G \leq (\mathbb{Z}/p^\nu\mathbb{Z})^\times$ ,  $G$  is cyclic,  $G = \langle a \rangle$  for some  $a$ . Moreover, suppose that the order of  $G$  is  $n_0$ . Since  $\psi$  is a cocycle  $\psi(1) = 0$  and, inductively, one can show that

$$\psi(a^t) = (a^{t-1} + a^{t-2} + \dots + 1)\psi(a) = \left(\frac{a^t - 1}{a - 1}\right)\psi(a).$$

Note that  $\frac{1}{a-1}$  might not make sense in  $\mathbb{Z}/p^\nu\mathbb{Z}$ , so we also let  $a$  be an integer representative of the congruence class, and we write  $\left(\frac{a^t-1}{a-1}\right)$  for the congruence class of  $\frac{a^t-1}{a-1} \in \mathbb{Z}$  modulo  $p^\nu\mathbb{Z}$ .

Note that  $n_0$ , the order of  $G$ , divides  $p^{\nu-1}(p-1)$ , the order of  $(\mathbb{Z}/p^\nu\mathbb{Z})^\times$ . First, suppose that  $\gcd(n_0, p-1) > 1$ . Then  $a \not\equiv 1 \pmod{p}$ , since the elements which are congruent to 1 modulo  $p$  generate subgroups with order a power of  $p$ . Since  $a \not\equiv 1 \pmod{p}$ ,  $a-1 \in (\mathbb{Z}/p^\nu\mathbb{Z})^\times$  and it follows that:

$$\psi(a^t) = \left(\frac{a^t - 1}{a - 1}\right)\psi(a) = (a^t - 1)\frac{\psi(a)}{a - 1} = a^t\frac{\psi(a)}{a - 1} - \frac{\psi(a)}{a - 1} \quad (\clubsuit)$$

with  $\frac{\psi(a)}{a-1} \in \mathbb{Z}/p^\nu\mathbb{Z}$ . Hence  $\psi$  is a coboundary in this case.

Only the case  $n_0 = p^{\nu-m}$  remains, where  $m$  is an integer satisfying  $1 \leq m < \nu$ . This corresponds to the case  $G = \{\alpha \in (\mathbb{Z}/p^\nu\mathbb{Z})^\times : \alpha \equiv 1 \pmod{p^m}\}$ . Thus  $a$ , the chosen generator of  $G$ , satisfies  $a \equiv 1 + up^m \pmod{p^\nu}$ , with  $u \not\equiv 0 \pmod{p}$ . It suffices to show that  $\psi(a) \equiv 0 \pmod{p^m}$  since that would imply that  $\frac{\psi(a)}{a-1} \in \mathbb{Z}/p^\nu\mathbb{Z}$  and we can proceed as in  $(\clubsuit)$  to prove that  $\psi$  is a coboundary. We start with:

$$0 \equiv \psi(1) \equiv \psi(a \cdot a^{p^{\nu-m}-1}) \equiv \psi(a) + a \cdot \psi(a^{p^{\nu-m}-1}) \pmod{p^\nu}$$

and

$$\psi(a^{p^{\nu-m}-1}) \equiv \left(\frac{a^{p^{\nu-m}-1} - 1}{a - 1}\right)\psi(a) \pmod{p^\nu}$$

thus

$$0 \equiv \psi(a) + a \cdot \left( \frac{a^{p^{\nu-m}-1} - 1}{a - 1} \right) \psi(a) \pmod{p^\nu}. \quad (\spadesuit)$$

It is easy to see that  $(1 + up^n)^{p^\kappa} = 1 + u'p^{\nu+\kappa}$ , with  $u \equiv u' \pmod{p}$ . Hence:

$$a \cdot \left( \frac{a^{p^{\nu-m}-1} - 1}{a - 1} \right) = \frac{a^{p^{\nu-m}} - 1}{a - 1} - 1 \equiv p^{\nu-m} - 1 \pmod{p^{\nu+1}}$$

and the congruence remains true modulo  $p^\nu$ . Finally, substituting in  $(\spadesuit)$  above, we obtain:

$$0 \equiv \psi(a) + (p^{\nu-m} - 1)\psi(a) \equiv p^{\nu-m}\psi(a) \pmod{p^\nu}.$$

Therefore,  $\psi(a) \equiv 0 \pmod{p^m}$ , which concludes the proof of the Lemma.  $\square$

$\square$

## 2. EXAMPLE

Let  $K = \mathbb{Q}(\sqrt{-11})$ ,  $p = 11$  and set  $\tau = \frac{1+\sqrt{-11}}{2}$ . We write  $\wp$  for the unique prime ideal of  $K$  lying above 11, thus the ramification index  $e = e(\wp | p) = 2$ . Let  $A/K$  be the curve:

$$A: y^2 + (2\tau - 1)y = x^3 + \tau x^2, \quad j(A) = \frac{-61440 - 851968\tau}{11 \cdot 4931}$$

$$\Delta_A = -3795 - 352\tau, \quad N_{K/\mathbb{Q}}(\Delta_A) = 3^3 \cdot 11^2 \cdot 3941, \quad N_{K/\mathbb{Q}}(j(A)) = \frac{2^{24} \cdot 3^3}{11^2 \cdot 3941}$$

In particular,  $t = -v_\wp(j(A)) = 2$ . Note that  $e = 2$  is not divisible by  $p - 1 = 10$ ;  $\gcd(p, t) = \gcd(11, 2) = 1$  and  $\frac{ep}{p-1} = \frac{11}{5} > 2 = t$ .

Hence it remains to check that the representation  $\widetilde{\rho}_A: \text{Gal}(\overline{K}/\widetilde{K}) \rightarrow \text{SL}(2, \mathbb{F}_p)$  is surjective. In [Ser72], Proposition 19, J-P. Serre gives conditions for a subgroup  $G$  of  $\text{SL}(2, \mathbb{F}_p)$  to be the full group  $\text{SL}(2, \mathbb{F}_p)$ . We reproduce the result here for the reader's convenience:

**Proposition.** *Suppose  $p \geq 5$  and the following hypotheses are satisfied:*

- (1) *The subgroup  $G$  contains a matrix  $s_1$  such that  $\text{Tr}(s_1)^2 - 4 \det(s_1)$  is a non-zero quadratic residue modulo  $p$ , and  $\text{Tr}(s_1) \not\equiv 0 \pmod{p}$ ;*
- (2)  *$G$  contains a matrix  $s_2$  such that  $\text{Tr}(s_2)^2 - 4 \det(s_2)$  is not a quadratic residue modulo  $p$ , and  $\text{Tr}(s_2) \not\equiv 0 \pmod{p}$ ;*
- (3)  *$G$  contains a matrix  $s_3$  such that  $u = \text{Tr}(s_3)^2 / \det(s_3)$  is not  $0, 1, 2$  or  $4$  modulo  $p$  and  $u^2 - 3u + 1 \not\equiv 0 \pmod{p}$ .*

*Then  $G$  is the full group  $\text{SL}(2, \mathbb{F}_p)$ .*

Let  $G < \text{SL}(2, \mathbb{F}_p)$  be the image of the representation  $\widetilde{\rho}_A$ . Let  $S_A$  denote the set of all prime ideals of  $K$  such that  $A$  has bad reduction.  $S_A$  is the set of prime ideals which divide  $\Delta_A$ , i.e.  $S_A = \{3, 11, 3941\}$ . Then, for every  $\nu \notin S_A \cup \{\varnothing\}$  the image via  $\widetilde{\rho}_A$  of a Frobenius element  $\pi_\nu \in \text{Gal}(\overline{K}/\widetilde{K})$  is a matrix that we also denote by  $\pi_\nu$  such that:

- (1)  $\text{Tr}(\pi_\nu) \equiv a_\nu \pmod{p}$  where  $a_\nu$  is the trace of the Frobenius automorphism of  $A$  at the place  $\nu$ ;
- (2)  $\det(\pi_\nu) \equiv \mathbf{N}(\nu) \pmod{p}$ .

In order to conclude that  $G = \text{SL}(2, \mathbb{F}_p)$  we exhibit three Frobenius elements  $s_1, s_2, s_3$  ( $s_3 = s_2$ ) that satisfy the conditions in the proposition above. The trace of the Frobenius automorphism was calculated using the computer software PARI [Pari00].

- The prime number 5 is split in  $K$ . Let  $\nu_5$  be one of the prime ideals of  $K$  lying above 5 (so  $\mathbf{N}(\nu_5) = 5$ ). The trace of the Frobenius automorphism is  $a_{\nu_5} = -1$ . Let  $s_1 = \pi_{\nu_5}$ . Then:

$$\text{Tr}(s_1)^2 - 4 \det(s_1) \equiv (-1)^2 - 4 \cdot 5 \equiv -19 \equiv 5^2 \pmod{11}.$$

- The prime number 13 is inert in  $K$ . Let  $\nu_{13}$  be the prime ideal of  $K$  lying above 13 (so  $\mathbf{N}(\nu_{13}) = 169$ ). The trace of the Frobenius automorphism is  $a_{\nu_{13}} = 10$ . Let  $s_2 = \pi_{\nu_{13}}$ . Then:

$$\text{Tr}(s_2)^2 - 4 \det(s_2) \equiv (10)^2 - 4 \cdot 169 \equiv -576 \equiv 7 \pmod{11}$$

and 7 is not a quadratic residue modulo 11.

- Let  $s_3 = s_2$  and let  $u = \text{Tr}(s_3)^2 / \det(s_3) \equiv \frac{100}{169} \equiv 3 \pmod{11}$ . Then  $u^2 - 3u + 1 \equiv 1 \pmod{11}$ .

Therefore  $\widetilde{\rho}_A$  is surjective and all conditions of Theorem 1.1 have been verified, thus the map  $\rho_A: \text{Gal}(\overline{K}/\widetilde{K}) \rightarrow \text{SL}(2, \mathbb{Z}_{11}[[X]])$  is surjective.  $\square$

#### ACKNOWLEDGEMENTS

I would like to thank David Rohrlich for his dedication as my advisor.



## REFERENCES

- [Boe] G. Boeckle, *Deformations and the rigidity method*, to appear.
- [Bos86] N. Boston, *Appendix to [MW86]*, Compos. Math. 59 (1986), 261-264.
- [Deu53] M. Deuring, *Die Zetafunktion einer algebraischen Kurve vom Geschlechte Eins*, Nachrichten der Akademie der Wissenschaften in Göttingen. Mathematisch-Physikalische Klasse, 85-94 (1953); II, *ibid.*, 13-42 (1955); III, *ibid.*, 37-76 (1956); IV, *ibid.*, 55-80 (1957).
- [Deu58] M. Deuring, *Die Klassenkörper der komplexen Multiplikation*, Enzyklopädie der mathematischen Wissenschaften: Mit Einschluss ihrer Anwendungen, Band I-2, Heft 10, Teil II. Stuttgart: Teubner 1958.
- [KL81] D. S. Kubert, S. Lang, *Modular Units*, Grundlehren der Mathematischen Wissenschaften, vol. 244, Springer-Verlag, New York, 1981.
- [Lan87] S. Lang, *Elliptic Functions*, 2nd Edition, Springer-Verlag, New York, 1987.
- [MW86] B. Mazur and A. Wiles, *On  $p$ -adic analytic families of Galois representations*, Compositio Mathematica 59 (1986), 231-264.
- [Pari00] The PARI Group, PARI/GP, Version 2.1.1, 2000, Bordeaux, available from <http://www.parigp-home.de/>
- [Roh] D. E. Rohrlich, *Universal deformation rings and universal elliptic curves*, unpublished note (available at his website).
- [Roh00a] D. E. Rohrlich, *False division towers of elliptic curves*. Journal of Algebra 229, 249-279 (2000).
- [Roh00b] D. E. Rohrlich, *A deformation of the Tate module*. Journal of Algebra 229, 280-313 (2000).
- [Roh04] D. E. Rohrlich, *Modular units and the surjectivity of a Galois representation*. Journal of Number Theory 107, (2004) 8-24.
- [Rub99] K. Rubin, *Elliptic curves with complex multiplication and the conjecture of Birch and Swinnerton-Dyer*. Arithmetic theory of elliptic curves (Cetraro, 1997), 167-234, Lecture Notes in Math., 1716, Springer, Berlin, 1999.
- [Ser68] J.-P. Serre, *Abelian  $l$ -adic Representations and Elliptic Curves*, W. A. Benjamin, Inc., New York, 1968.
- [Ser72] J.-P. Serre, *Propriétés galoisiennes des points d'ordre fini des courbes elliptiques*, Inventiones Mathematicae 15 (1972), 259-331.
- [ST68] J.-P. Serre, J. Tate, *Good reduction of abelian varieties*, Annals of Mathematics 88, (1968) 492-517.

*E-mail address:* alozano@colby.edu

DEPARTMENT OF MATHEMATICS, COLBY COLLEGE, 8800 MAYFLOWER HILL, WATERTVILLE, ME 04901.