TRUSTEES/DIRECTORS PRESENT: Messrs: Drotch, Haberland, Goldberg, and Nayden

STAFF PRESENT: Messrs: Bradley, Brohinsky, Callahan, DeTora, Evanovich; Feldman, Geoghegan, McDowell, Nicholls, Patel, Quaresima, Sargis, Small, Sullivan, Upton, Urban, Veilleux, Walker, and Wetstone
Mesdames: Aronson, Alexander, Brady, Chiaputti, Gray, Krisst, Liskom, Mauriello, Munroe, Rubin, Troyer, Violette

STATE AUDITORS: Messrs. Carroll, Rasimas and Slupecki

Media: Messrs: Janowski
Mesdames: McCluskey and Merritt

The teleconference of the Joint Audit & Compliance Committee (JACC) was called to order at 12:31 p.m. by Trustee Nayden.

TAB 1: Minutes

ON A MOTION by Trustee Nayden and seconded by Trustee Drotch, the minutes of the December 11, 2007 meeting were approved.

TAB 2: Significant Compliance Activities

The Office of Audit, Compliance & Ethics (OACE) updated the JACC on significant compliance activities during the reporting period. K.M. Walker informed the JACC that the OACE has two audit vacancies at UCHC, one audit vacancy in Storrs, and two coder trainer vacancies at UCHC. Searches are underway for all five positions.

TAB 3: OACE Quarterly Newsletter “Compliance courier”

OACE provided the JACC with a copy of UConn’s quarterly newsletter (Volume 1, Issue 2) of the “Compliance courier” issued by OACE in order to provide updates on important compliance issues and address frequently asked questions of the University.
**TAB 4: Status of Audit Assignments**

OACE provided the JACC with the status of current audit assignments. There were 13 audits completed during this reporting period.

K.M. Walker informed the JACC that the OACE began the FY 09 Risk Assessment.

**TAB 5: Board Requested Financial Aid Audit**

OACE provided the JACC with executive summaries for the Storrs and Regional Campuses School of Law, School of Social Work and UCHC Financial Aid audits.

**TAB 6: OACE Calendar Year 2007 Activity Summary**

OACE provided the JACC with a summary of activities performed by OACE in calendar year 2007. K.M. Walker indicated that it was a very productive year for the OACE and informed the JACC that the number of FOIA requests processed sky rocketed over the last year. OACE is responsible for FOIA requests at Storrs and the Regional Campuses. S. Wetstone briefly discussed UCHC’s FOIA procedures to the JACC. The committee had a brief discussion on the cost of processing FOIA requests.

Trustee Drotch noted that the OACE was involved with 38 committees; one with 29 members. Trustee Drotch felt that the cost the University spends on committees must be extraordinary. He asked that the University periodically reevaluate its committees to determine its continued need and value to the University. Trustee Nayden indicated that the University should analyze all committees, charters, scope of activity, number of people, and the dollars the committees cost. Trustee Nayden indicated that he would discuss this issue with Chairman Jack Rowe at the Board of Trustees meeting. K.M. Walker indicated that the OACE would do that analysis for the committees that it supports and serves on.

**TAB 7: Items for Board Approval**

The OACE provided the JACC with revised charters for the Executive Compliance Committee (ECC) – Storrs and the Clinical Compliance Committee (CCC) Charter – UCHC for approval.

Trustee Drotch asked OACE why there is an Executive Compliance Committee and R. Rubin educated Trustee Drotch on the background of this committee which is required by the Corporate Integrity Agreement for a period of five years. Trustee Nayden indicated that he would like this committee reevaluated after the five-year term to determine its usefulness.

K.M. Walker noted that there was one change to the Executive Compliance Committee Charter that was overlooked. On page 2, second group of bullets, the title of Special Assistant to President should read Senior Associate to the President.

**ON A MOTION** by Trustee Nayden and seconded by Director Haberland the JACC approved the charter revisions.
J. Rasimas gave the JACC a brief presentation on the University of Connecticut and University of Connecticut Health Centers Financial Statements as of and for the year ended June 30, 2007.

There being no further questions or issues, **ON A MOTION** made by Trustee Nayden and seconded by Director Haberland, the meeting was convened to Executive Session pursuant to Connecticut General Statues Section 1-200 at 1:26 p.m. Management was dismissed and the Auditors of Public Accounts, Carroll, Rasimis and Slupecki remained for the Executive Session to meet with the JACC members and members of the Office of Audit, Compliance & Ethics to discuss management performance. C. Chiaputti, P. Drotch, J. Goldberg, C. Gray, J. Haberland, M. Liskom, I. Mauriello, D. Nayden, A. Quaresima, R. Rubin, K. Violette and M. Walker were present at this session.

The meeting was adjourned at 1:40 p.m.

Respectfully submitted,

Karen Violette
Secretary to the JACC